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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/895,511	06/29/2001	Ted Liang	042390P11354	8234

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EXAMINER

ZERVIGON, RUDY

ART UNIT	PAPER NUMBER
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1763

DATE MAILED: 12/14/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/895,511

Applicant(s)

LIANG ET AL.

Examiner

Rudy Zervigon

Art Unit

1763

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 25 September 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,4-12 and 18-33 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,4-12 and 18-33 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____.

- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____.

DETAILED ACTION

Claim Rejections - 35 USC § 112

1. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 1, 4-12, and 18-33 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claims contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. In particular, Applicant's added claim limitation of "An apparatus lacking an ion column" is new matter.

Claim Rejections - 35 USC § 103

3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

4. Claims 1, 4-12, 18, 20, 25, and 27-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Casey, Jr. et al (USPat. 6,042,738) as demonstrated by Baum, Aaron Wolf et al (US 5,684,360 A) in view of Parker; Norman W. et al. (US 4,818,872 A).

Casey teaches an apparatus (Figure 1) including:

- i. A holder (26) to mount a substrate / mask (30) in a chamber (22) by a stage (24) –
Regarding the particular identity of the article to be processed, it is well established that apparatus claims must be structurally distinguished from the prior art (In re Danley, 120 USPQ 528, 531 (CCPA 1959). "Apparatus claims cover what a device is, not what a

Art Unit: 1763

device does ."(emphasis in original) Hewlett - Packard Co . v. Bausch & Lomb Inc ., 15 USPQ2d 1525, 1528 (Fed. Cir. 1990), MPEP – 2114). Further, a claim containing a “recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus” if the prior art apparatus teaches all the structural limitations of the claim. *Exparte Masham*, 2 USPQ2d 1647 (Bd. Pat. App. & Inter. 1987).

- ii. A stage (24) adapted to position the holder in a chamber (22), and adapted to move in different directions (column 4, line 64 – column 5, line 3)
- iii. A pumping system (“vacuum chamber 22”; column 4, lines 31) adapted to evacuate the chamber
- iv. A first electron column¹ imaging system (28, 54; column 4, lines 38-45; column 5, lines 5-10; Figure 1; column 3, lines 8-16, “image and mill the workpiece”; column 4, lines 5-10; column 5, lines 5-10) adapted to locate (column 6, lines 17-30) an opaque defect (abstract; column 1, lines 5-10; column 2, lines 28-50; column 8, line 62 – column 9, line 2;) in the substrate / mask, said imaging system (28; Figure 1; column 3, lines 8-16, “image and mill the workpiece”; column 4, lines 5-10; column 5, lines 5-10) disposed vertically above (28) said substrate (90; Figure 1)
- v. A gas delivery system (45, 34; column 5, lines 22-38) adapted to dispense a reactant gas towards the defect
- vi. A second electron column¹ delivery system (32, 54, 56, 62, 64, 52/112; column 4, line 64 – column 5, line 12; column 5, line 63 - column 6, line 10) adapted to direct electrons

towards the opaque defect (column 3, lines 60-65) to induce chemical etching by the reactant gas and said electrons to induce said gas to etch said opaque defect without ion “bombardment, and without ion implantation or knock-on of atoms” – “methods of gas-assisted etching using an etching gas including bromine” (abstract). It is noted that when the structure recited in the reference is substantially identical to that of the claims, claimed properties or functions are presumed to be inherent (In re Best, 562 F.2d 1252, 1255, 195 USPQ 430, 433 (CCPA 1977); MPEP 2112.01).

- vii. DUV/EUV mask / substrate (column 1, lines 35-45)
- viii. Chrome opaque defect (column 3, lines 3-4; line 55)
- ix. An ion focusing control system (18; column 4, lines 28-44) and scanning control system (62, column 4, lines 39-43)
- x. An acceleration system (“JEOL Model 6400”) providing a low acceleration voltage (column 9, lines 20-25)
- xi. A computer controller (50, 52/112, column 4, lines 38-45; column 7, lines 33-44; column 5, line 63 - column 6, line 10; column 7, lines 32-44) adapted to control the second electron column¹ delivery system (32, 54, 56, 62, 64, 52/112; column 4, line 64 – column 5, line 12; column 5, line 63 - column 6, line 10) – claim 10, 12.
- xii. The gas delivery system (34; column 5, lines 22-38) is also adapted to “dispense a carrier gas towards said opaque defect”, “said gas comprises water or oxygen” (claim 29), “said gas comprises Xenon Fluoride (XeF₂)” (claim 30) – Applicant’s claim 18, 29, 30 limitations are intended use claim requirements. Further, it has been held that claim

¹ Baum, Aaron Wolf et al (US 5,684,360 A) teaches the art-accepted definition of “electron beam column” (column

Art Unit: 1763

language that simply specifies an intended use or field of use for the invention generally will not limit the scope of a claim (Walter , 618 F.2d at 769, 205 USPQ at 409; MPEP 2106). Additionally, in apparatus claims, intended use must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim (In re Casey, 152 USPQ 235 (CCPA 1967); In re Otto , 136 USPQ 458, 459 (CCPA 1963); MPEP 2111.02).

- xiii. Applicant's claim 20 limitation of "the reactant gas absorbs to said opaque defect and becomes disassociated" are intended use claim requirements. Further, it has been held that claim language that simply specifies an intended use or field of use for the invention generally will not limit the scope of a claim (Walter , 618 F.2d at 769, 205 USPQ at 409; MPEP 2106). Additionally, in apparatus claims, intended use must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim (In re Casey, 152 USPQ 235 (CCPA 1967); In re Otto , 136 USPQ 458, 459 (CCPA 1963); MPEP 2111.02).

Casey does not teach that Casey's first electron column (28; Figure 1; column 3, lines 8-16, "image and mill the workpiece"; column 4, lines 5-10; column 5, lines 5-10) is used to direct a first set of electrons towards a substrate.

Art Unit: 1763

Casey does not teach that Casey's second electron column¹ delivery system (32, 54, 56, 62, 64, 52/112; column 4, line 64 – column 5, line 12; column 5, line 63 - column 6, line 10) is capable of “scanning”.

Casey does not teach Casey's computer controller (50, 52/112, column 4, lines 38-45; column 7, lines 33-44; column 5, line 63 - column 6, line 10; column 7, lines 32-44) adapted to control the second electron column¹ delivery system (32, 54, 56, 62, 64, 52/112; column 4, line 64 – column 5, line 12; column 5, line 63 - column 6, line 10) can control Casey's second electron column¹ delivery system (32, 54, 56, 62, 64, 52/112; column 4, line 64 – column 5, line 12; column 5, line 63 - column 6, line 10) “dwell time”, “scan rate”, “refresh time”, and “retrace time” because Casey does not teach that Casey's second electron column¹ delivery system (32, 54, 56, 62, 64, 52/112; column 4, line 64 – column 5, line 12; column 5, line 63 - column 6, line 10) is capable of “scanning”. However, Casey's computer controller (50, 52/112, column 4, lines 38-45; column 7, lines 33-44; column 5, line 63 - column 6, line 10; column 7, lines 32-44) is inherently capable of controlling “dwell time”, “scan rate”, “refresh time”, and “retrace time” as evidenced from Casey's “scan generator element 62”, “dwell registers 64” (column 4, line 40; column 7, line 55 – column 8, line 5), and processor 52/112 “to implement a digital raster pattern” (column 5, line 65). Applicant's claimed “times” and “rates” of moving are translated to Casey's control element 58 to generate raster motions which have “dwell time”, “scan rate”, “refresh time”, and “retrace time” based on the desired milling instructions (column 6, lines 1-10; column 7, lines 45-54).

Parker teaches a scanning (“ion beam is scanned”; claim 1,) electron column (4; Figure 1A; column 4; lines 13-23) used to direct a first set of electrons (10; Figure 1A; column 4; lines 13-

Art Unit: 1763

23) towards a substrate (“targets”; column 2, lines) for charge neutralization (claim 1, “second, charge neutralization mode”). Parker further teaches

It would have been obvious to one of ordinary skill in the art at the time the invention was made to add Parker’s electron column to Casey’s apparatus and to optimize the operation of Casey’s apparatus to avoid damaging underlying layers of the processed substrate.

Motivation to add Parker’s electron column to Casey’s apparatus and to optimize the operation of Casey’s apparatus to avoid damaging underlying layers of the processed substrate is to minimize substrate damage as taught by Casey (column 9; lines 65-67) and for combining multiple beam sources into one apparatus as taught by Parker (column 3; lines 29-31) to image “with high spatial resolution” as taught by Parker (column 3; lines 33-35). Further, it is well established that the duplication of parts is obvious (*In re Harza* , 274 F.2d 669, 124 USPQ 378 (CCPA 1960) MPEP 2144.04). It would be obvious to those of ordinary skill in the art to optimize the operation of the claimed invention (*In re Boesch*, 617 F.2d 272, 205 USPQ 215 (CCPA 1980); *In re Hoeschele* , 406 F.2d 1403, 160 USPQ 809 (CCPA 1969); *Merck & Co. Inc . v. Biocraft Laboratories Inc.* , 874 F.2d 804, 10 USPQ2d 1843 (Fed. Cir.), cert. denied , 493 U.S. 975 (1989); *In re Kulling* , 897 F.2d 1147, 14 USPQ2d 1056 (Fed. Cir. 1990), MPEP 2144.05).

5. Claim 19 is rejected under 35 U.S.C. 103(a) as being unpatentable over Casey, Jr. et al (USPat. 6,042,738) as demonstrated by Baum, Aaron Wolf et al (US 5,684,360 A) in view of Parker; Norman W. et al. (US 4,818,872 A) and Fuji, Eiji et al (US 5,876,504 A). Casey and Parker are discussed above. Casey and Parker are do not teach the angle of gas injection of

Art Unit: 1763

Casey's gas delivery system (45, 34; column 5, lines 22-38) has an angular dispersion of 5-25°.

Fuji teaches a variably positioned gas injection nozzle (8; Figure 2).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Casey and Parker's gas injector nozzle with Fuji's variably positioned gas injection nozzle (8; Figure 2).

Motivation to replace Casey and Parker's gas injector nozzle with Fuji's variably positioned gas injection nozzle (8; Figure 2) is for establishing laminar flow on the substrate as taught by Fuji (column 4, lines 35-40).

6. Claims 21-24, 26, 32, and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Casey, Jr. et al (USPat. 6,042,738) as demonstrated by Baum, Aaron Wolf et al (US 5,684,360 A) in view of Parker; Norman W. et al. (US 4,818,872 A). Casey and Parker are discussed above. Casey does not teach operating pressures in 0.5-10.0mTorr, "a beam comprising a current of about 0.05-1.0nA", second electrons beams with diameters of about 5-125nm and energies of 0.-3.0keV. Casey further does not teach that his reactor is either reaction-limited or mass transfer limited as claimed by Applicant's claim 33 – However, when the structure recited in the reference is substantially identical to that of the claims, claimed properties or functions are presumed to be inherent (In re Best, 562 F.2d 1252, 1255, 195 USPQ 430, 433 (CCPA 1977); MPEP 2112.01).

Parker further teaches an electron beam apparatus (Figure 7) including operating pressures up to 100picoTorr (column 6, lines 15-20), beam currents of about 1.0nA (column 7, lines 1-10), electrons beams with diameters of about 5-125nm ("not more than 1 micrometer"; column 7, lines 1-10) and energies of 3.0keV (column 7, lines 23-31).

Art Unit: 1763

It would have been obvious to one of ordinary skill in the art at the time the invention was made to replace Casey's electron emitting column with Parker's electron emitting column (12; Figure 7).

Motivation to replace Casey's electron emitting column with Parker's electron emitting column (12; Figure 7) is for thin film processing as taught by Parker (column 6, lines 30-41).

Response to Arguments

7. Applicant's have added the begative limitation of "An apparatus lacking an ion column..". The Examiner conceeds that indeed Casey, Jr. et al (USPat. 6,042,738) teaches an ion column 12, Figure 1. However, the Examiner notes that his rejection *does not cite* any reference to Casey's ion column 12, Figure 1 as teaching any claim amendment. Taken as a whole, the casey reference teaches the above claimed positive limitations. Further, the Examiner's combination does not require the negative recited limitation.

8. Further, Applicant's claim amendment is believed new matter after reviewing the as-filed specification that at least contains species of ion columns.

9. Applicant's arguments with respect to claims 1, 4-12, and 18-33 are have been considered but are moot in view of the new grounds of rejection.

Conclusion

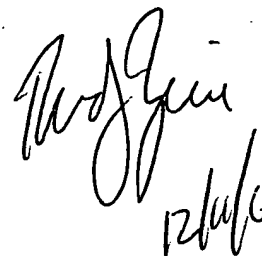
10. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

Art Unit: 1763

MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Rudy Zervigon whose telephone number is (571) 272-1442. The examiner can normally be reached on a Monday through Thursday schedule from 8am through 7pm. The official fax phone number for the 1763 art unit is (571) 273-8300. Any Inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Chemical and Materials Engineering art unit receptionist at (571) 272-1700. If the examiner can not be reached please contact the examiner's supervisor, Parviz Hassanzadeh, at (571) 272-1435.



Rudy Zervigon
12/14/16